

# **Understanding the Patterning Mechanism of Inorganic Nanoparticle Photoresists from $\text{HfO}_2$ and $\text{ZrO}_2$**

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# Presentation Overview

- Introduction to the inorganic photoresist platform
- Lithographic performance highlights:
  - EUV patterning
  - Etching
- Versatile resist platform:
  - Dual-tone capability
  - Alternate formulations
  - EUV absorbance optimization
- Working Hypothesis for patterning mechanism

# Metal Oxide Sulfate (Inpria)

Aqueous solution-based inorganic resists (metal oxide sulfates: Hf, Zr)  
for **EUV** and **e-beam** lithography

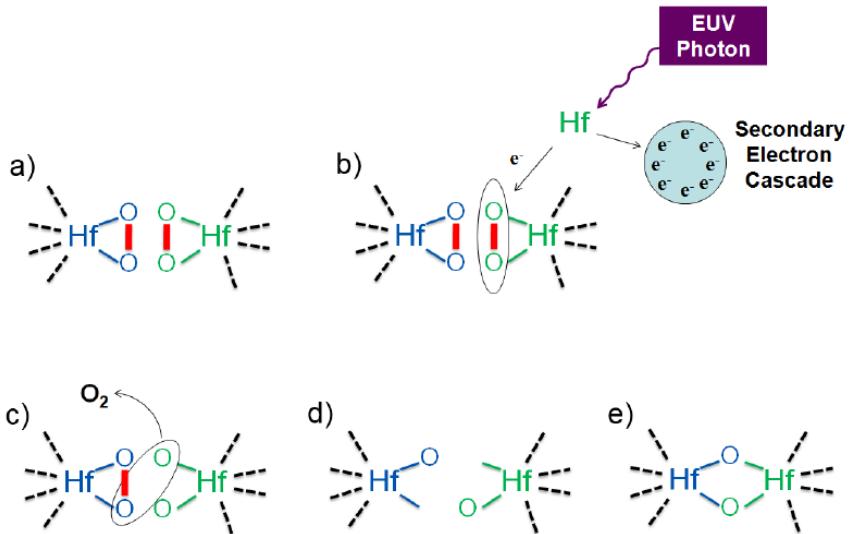
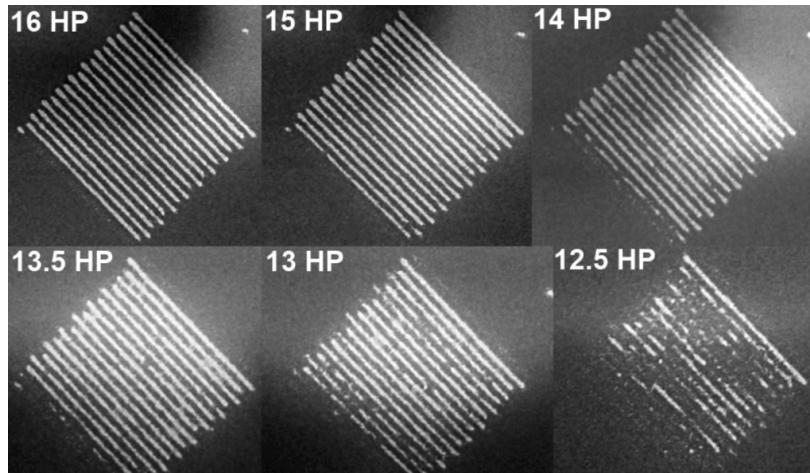
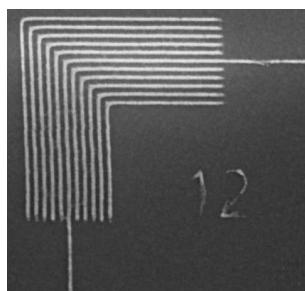


Diagram representing a simplified exposure mechanism in Inpria resist



16 nm HP – 12 nm HP lines produced by F2X mode on the SEMATECH-Berkeley MET with XE15AB resist at 70 mJ/cm<sup>2</sup>. The 16-nm HP lines printed at 13-nm CD, and they have a LER of 2.0 nm.

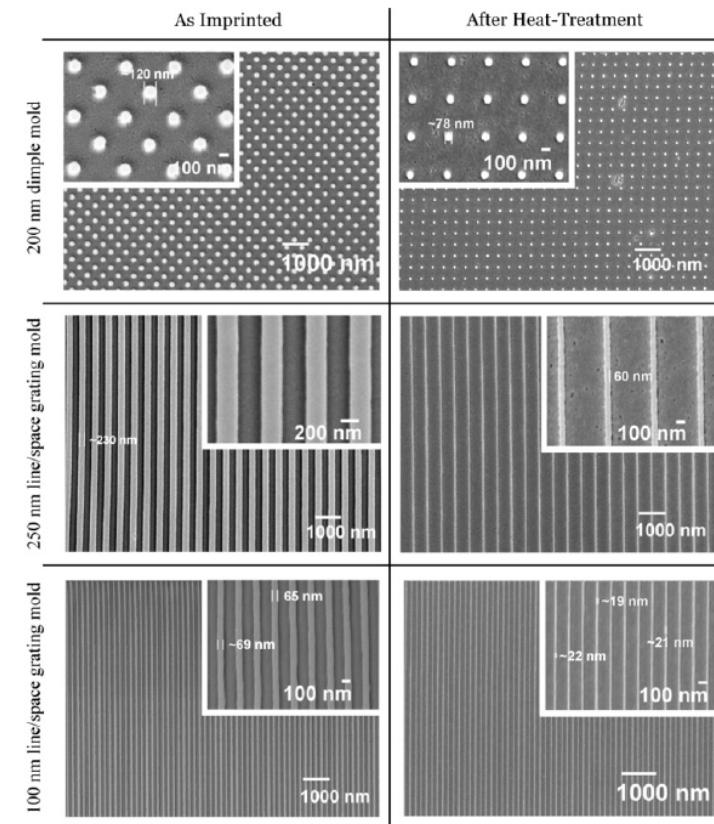
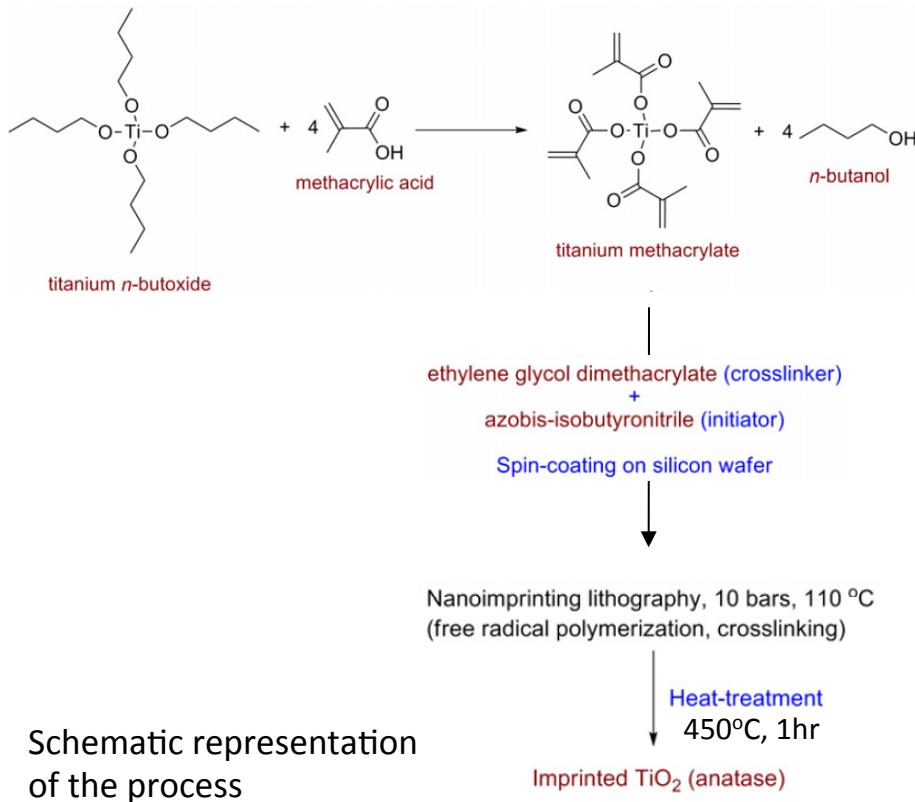


12-nm half-pitch lines patterned with e-beam lithography, XE15AB resist at 930 μC/cm<sup>2</sup> (30 keV).

J. Stowers *et al.* 2011 Proc. of SPIE Vol. 7969 796915

# Titanium Oxide Methacrylate

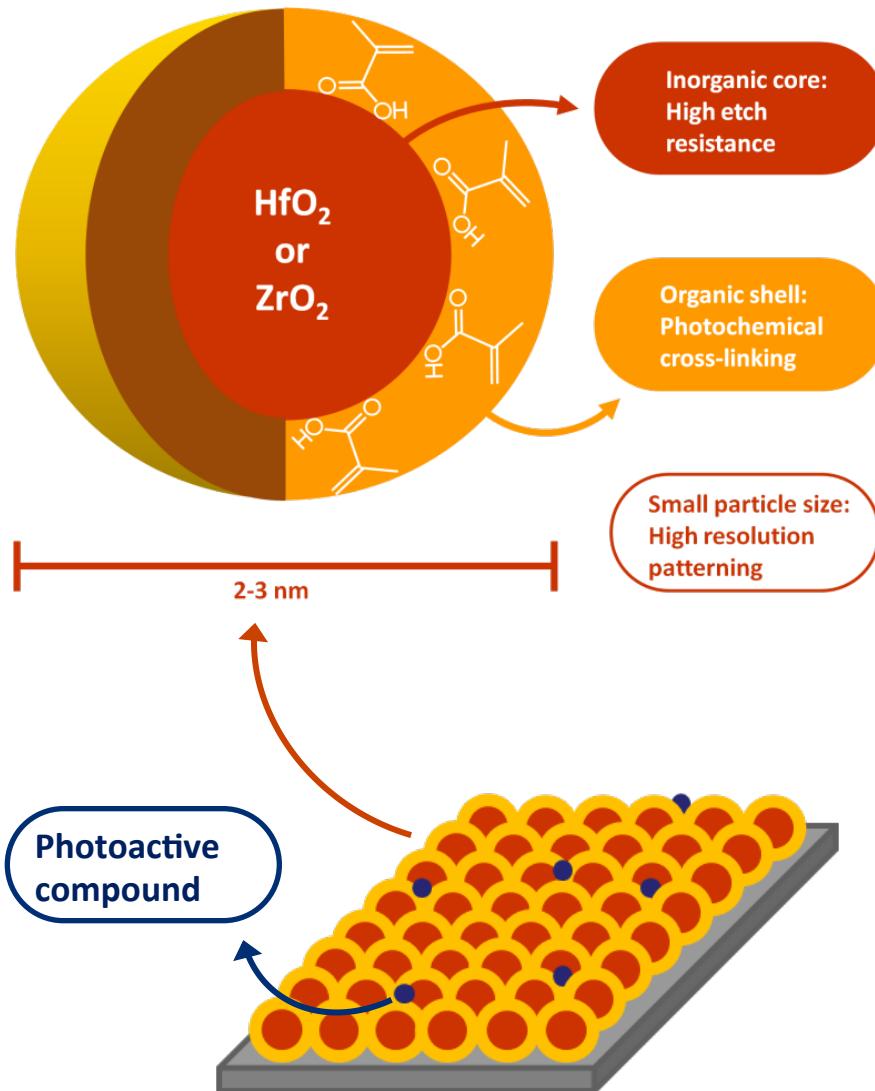
Organic solution-based organic/inorganic resists (metal core: Ti) for **nanoimprint** lithography



Composite SEM images of various as-imprinted and heat-treated structures using different molds. Heat-treatment to produce ~20 nm structures of *TiO*2

S. H. Lim *et al.* Nanotechnology 21 (2010) 285303

# Nanoparticle Photoresist



## Hybrid organic/inorganic nanoparticles:

Metal oxide with organic surface ligands

## Inorganic core:

$\text{ZrO}_2$  or  $\text{HfO}_2$ , other metal oxides can be used.

## Ligand Surface:

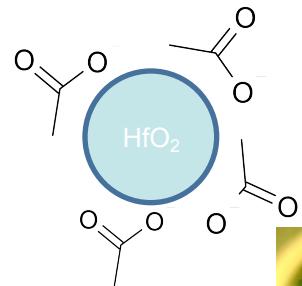
Carboxylate, phosphonate and sulfate studied to date

## Photoactive Compounds:

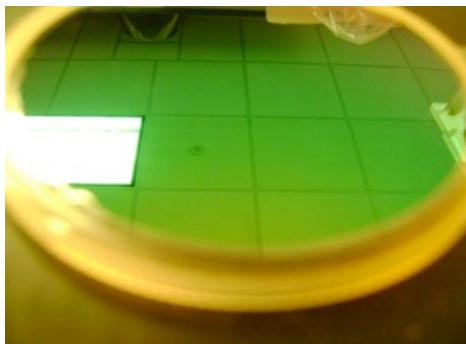
Either PAG or photoradical generator

**NOT CHEMICALLY AMPLIFIED**

# HfO<sub>2</sub> Nanoparticle Photoresist

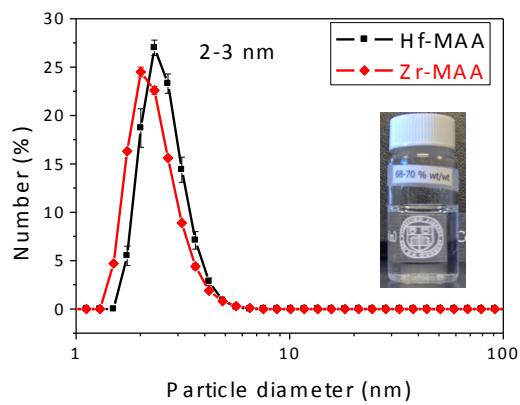
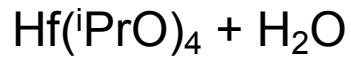


Ligand exchange

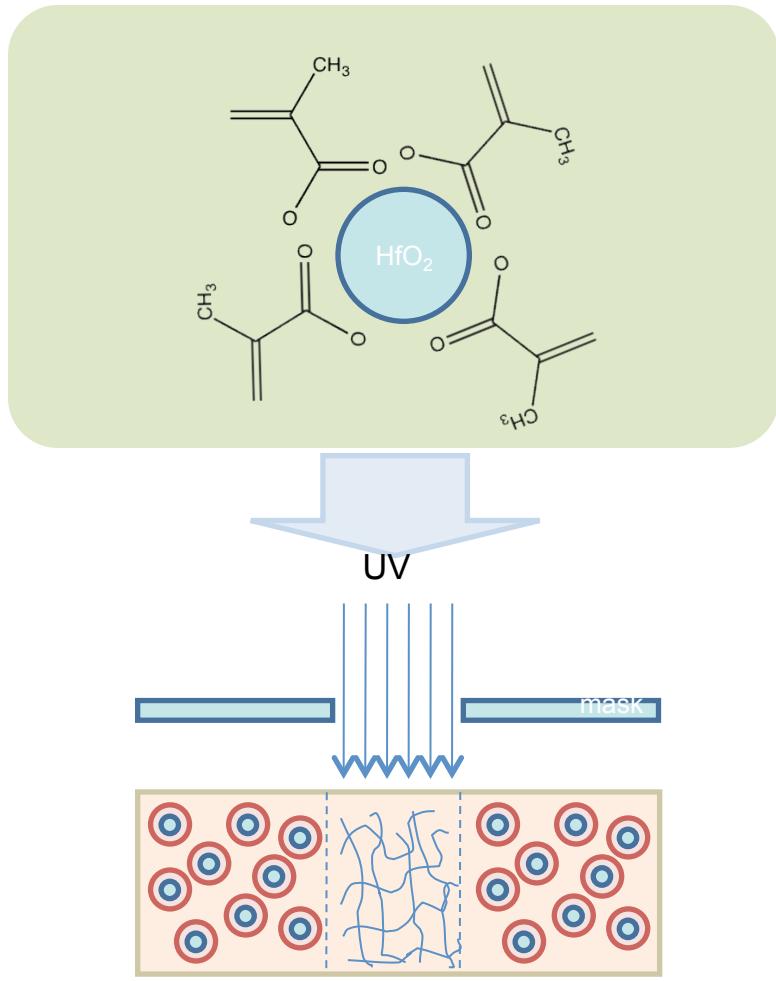


Coated film

Acetic acid

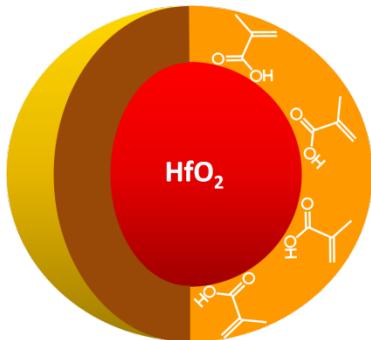


with P Zimmerman (Sematech); E Giannelis (Cornell)



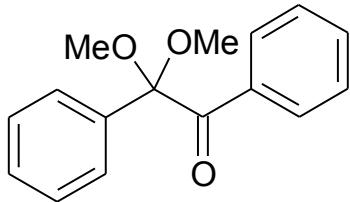
# Resist Formulation

**HfMAA or ZrMAA:** 5-10% w/v



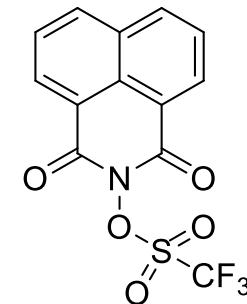
- ✓ Spin coat from PGMEA or similar solvents
- ✓ Can plug into existing dispense process
- ✓ Aqueous base or solvent develop
- ✓ Positive or negative tone

**Photoactive compound:** 1-10% w/w relative to nanoparticle mass

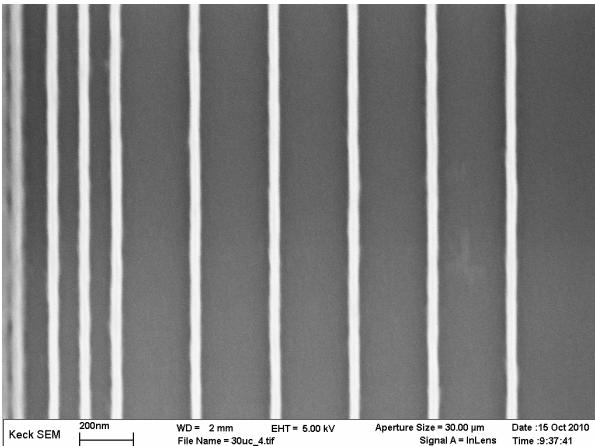


dimethoxy phenyl acetophenone

← Photoinitiator  
or  
PAG →

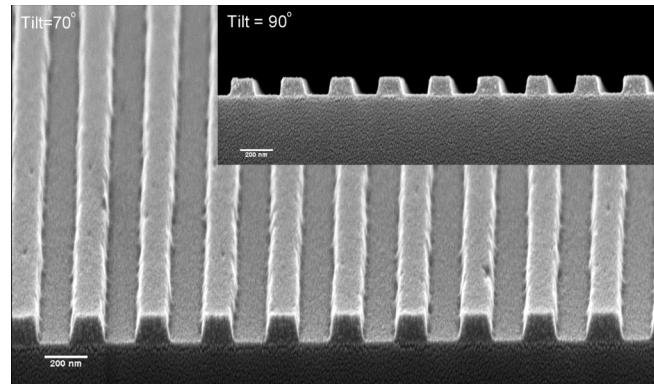


# E-beam and 193i Lithography

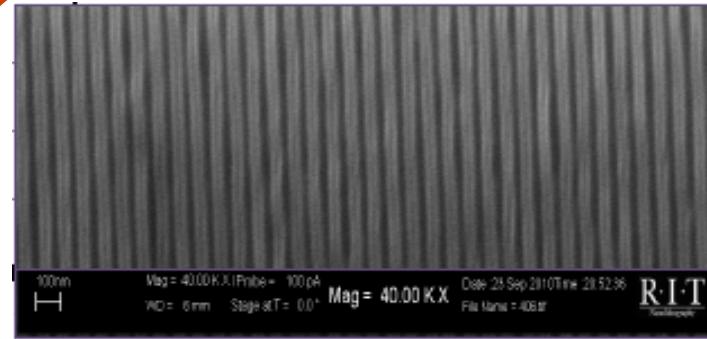


CD =  $38.8 \pm 0.19$  nm  
iLWR' =  $5.3 \pm 0.21$  nm  
LWR' =  $5.1 \pm 0.21$  nm  
iLER' =  $3.1 \pm 0.13$  nm  
LER' =  $3.0 \pm 0.13$  nm

HfMAA + DPAP  
E-beam, negative tone



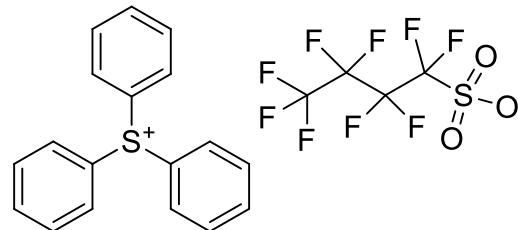
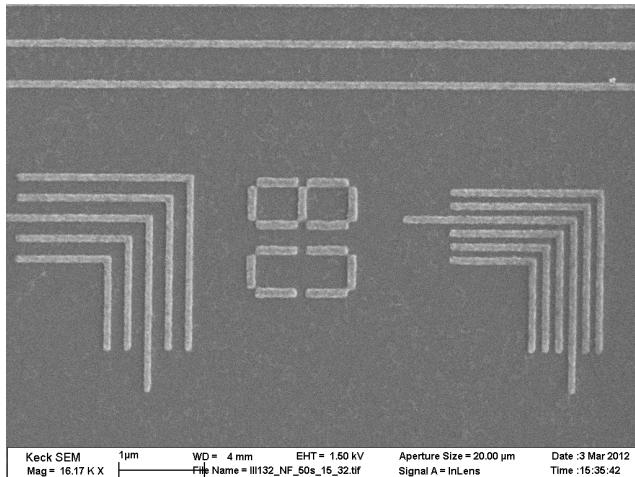
HfMAA + DPAP  
193 dry, negative tone, 150nm



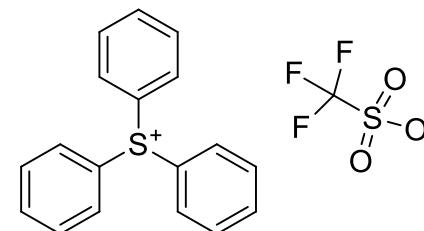
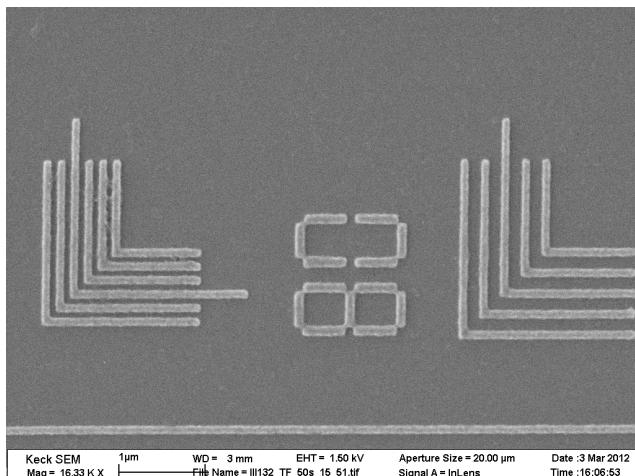
HfMAA + DPAP  
193 immersion, negative tone, 40nm

# Negative-tone Patterning of ZrMAA Different PAGs

Electron beam lithography with Ionic PAGs  
(Dose = 15 $\mu$ C/cm<sup>2</sup>)



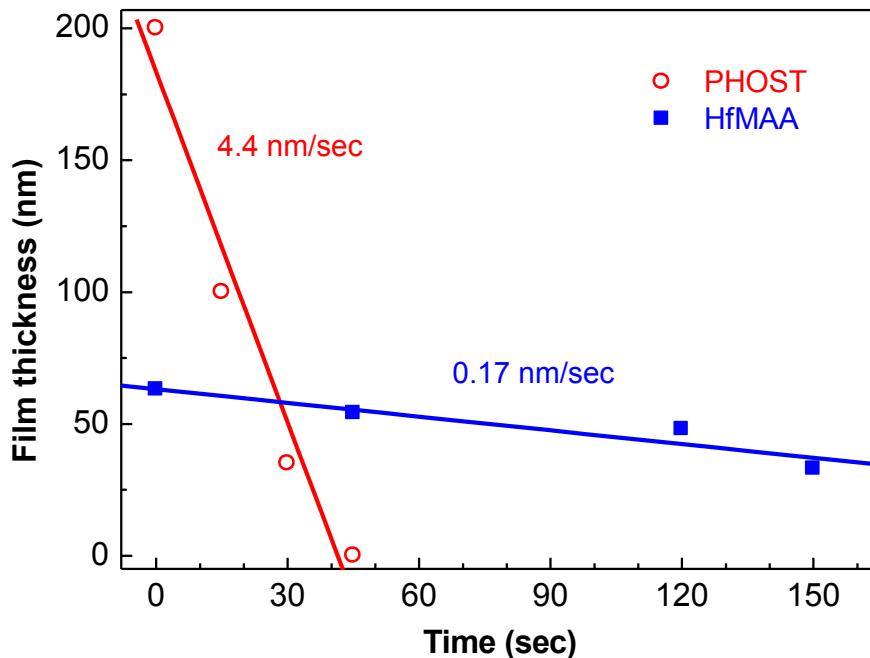
Triphenylsulfonium perfluoro-1-butanesulfonate



Triphenylsulfonium triflate

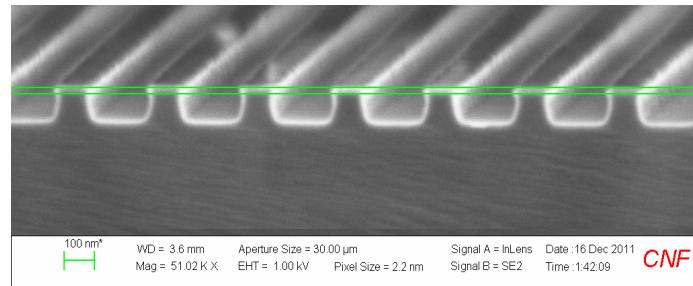
# Etch Resistance / Pattern Transfer

Etch rate comparison of PHOST and Hf-MAA resist

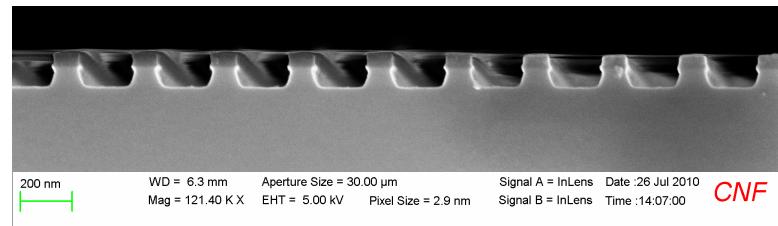


HfMAA has 25 times better etch resistance than PHOST

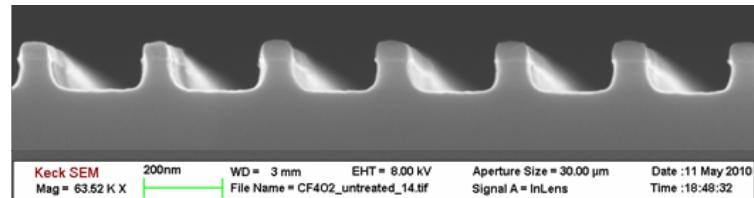
O<sub>2</sub> plasma treatment has no detrimental effect on pattern transfer



SF<sub>6</sub>/O<sub>2</sub> pattern transfer

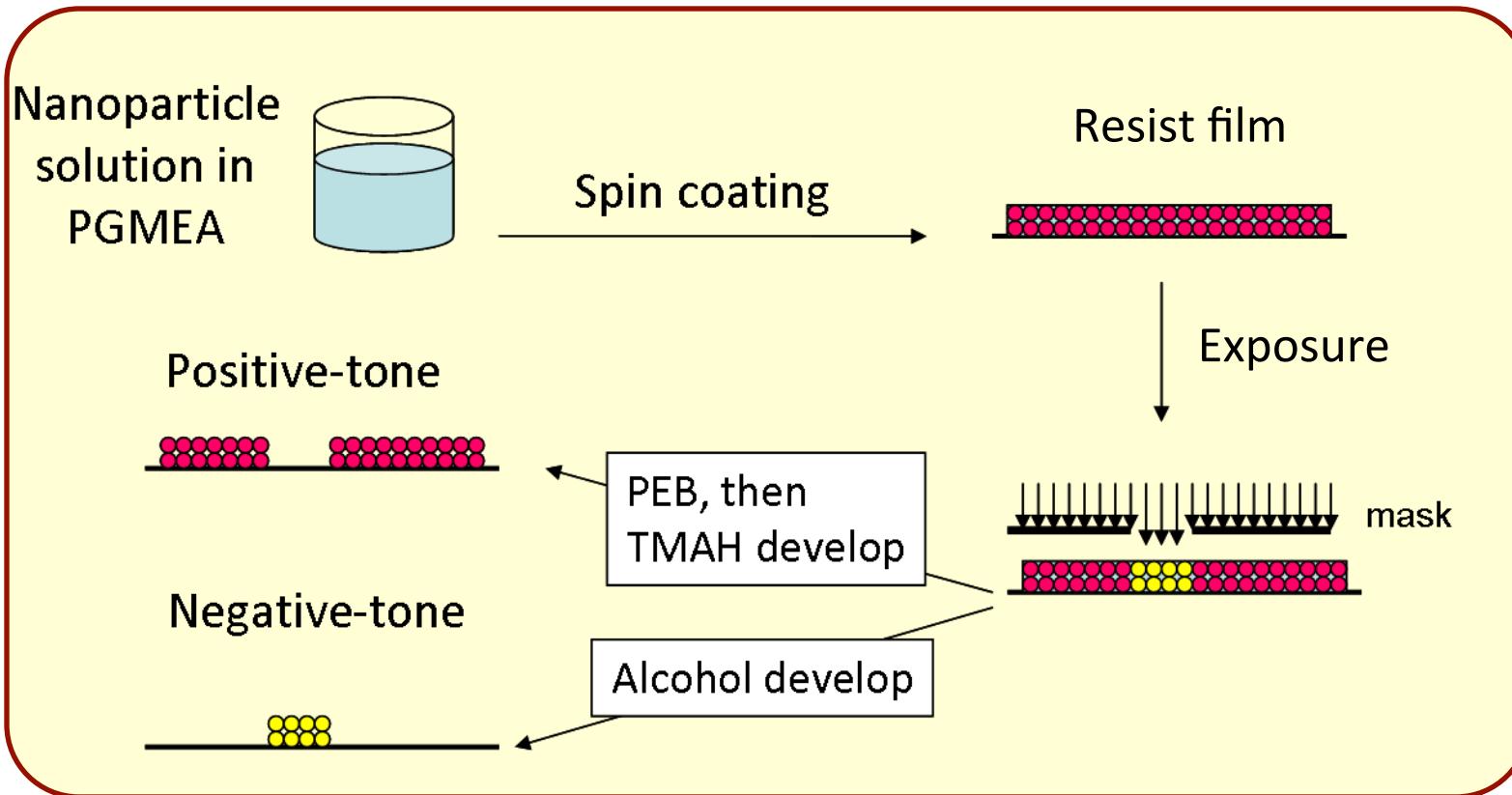


CF<sub>4</sub> pattern transfer



# Resist Process Flow Schematic

## Dual tone photoresist



# Absorption optimization

Film absorption depends on atomic composition and density



$$\mu = \frac{N_A \rho}{MW} \sum_i x_i \sigma_{\alpha i}$$

Organic/inorganic hybrid

Inorganic:

$\text{HfO}_2 - \text{ZrO}_2$  high density materials

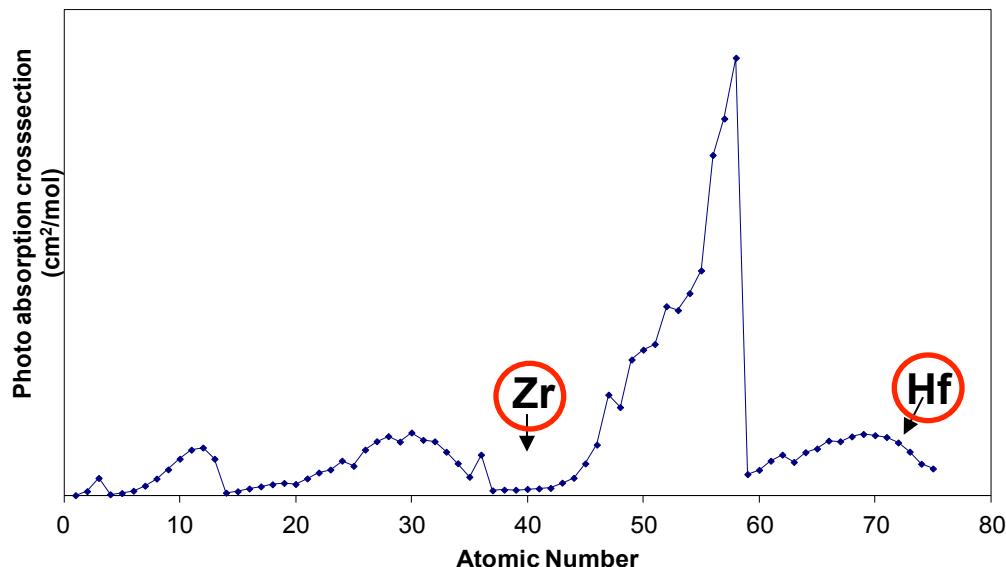
Hf has higher absorbance than Zr at 13.5 nm

Organic:

Lower density

**Absorption optimization:**

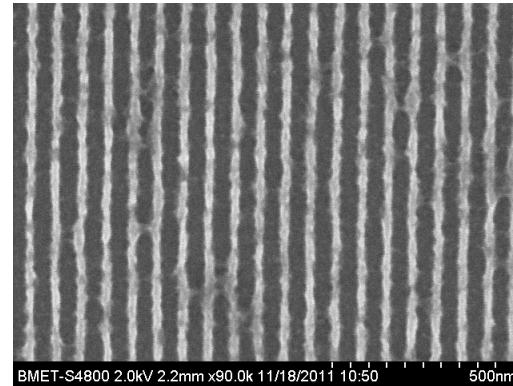
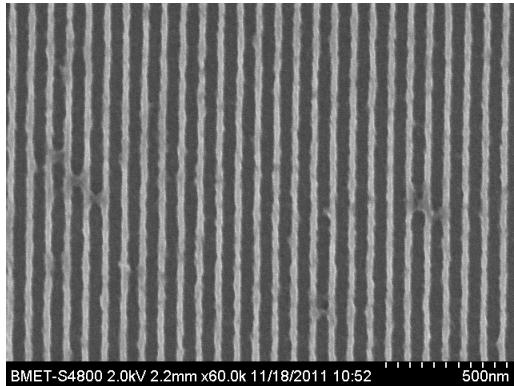
- Hf:Zr ratio
- Organic content – film density



# EUV Lithography

## High EUV sensitivity

SuMMIT analysis:  
**CD =  $26.1 \pm 0.11$  nm**  
LWR =  $6.0 \pm 0.10$  nm  
**LER =  $3.8 \pm 0.07$  nm**



SuMMIT analysis:  
**CD =  $21.5 \pm 0.58$  nm**  
LWR =  $9.0 \pm 0.18$  nm  
LER =  $5.6 \pm 0.18$  nm

Zr-MAA + PAG      **Dose:  $4.2 \text{ mJ/cm}^2$**

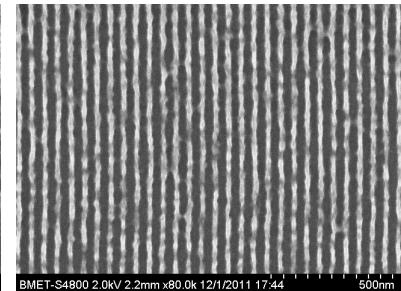
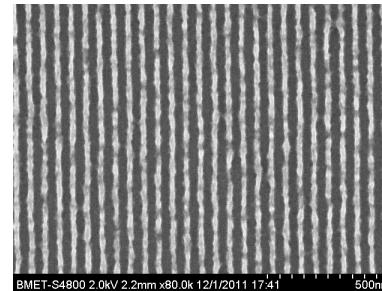
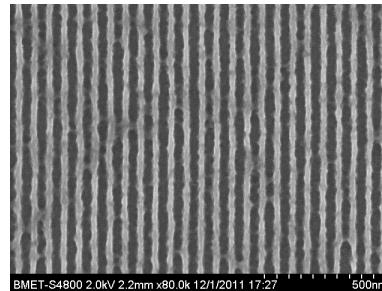
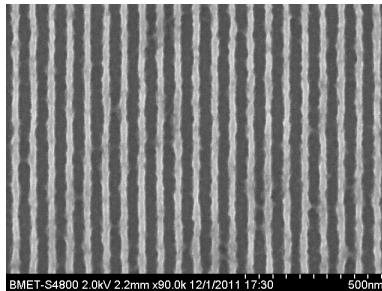
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Half pitch      34nm

32nm

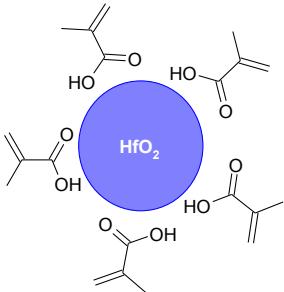
30nm

28nm

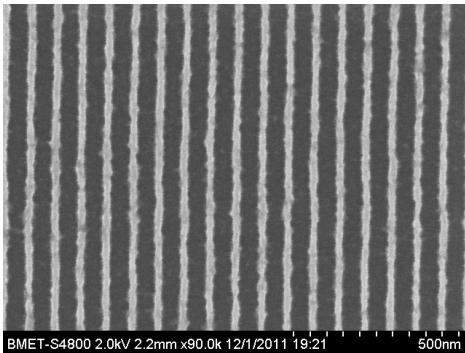


Zr-MAA + PAG      **Dose:  $16.5 \text{ mJ/cm}^2$**

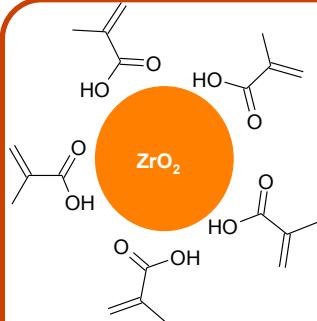
# Alternative Cores and Ligands



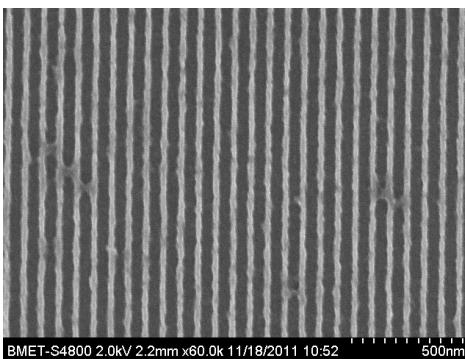
HfMAA



- ✓ Dual-tone
- ✓ EUV
- ✓ E-beam, 193, DUV

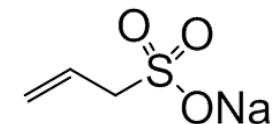
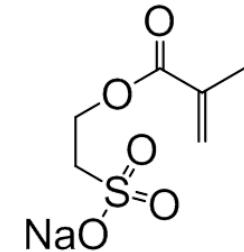
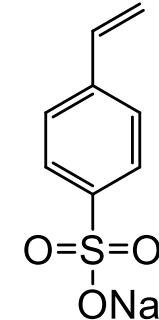


ZrMAA

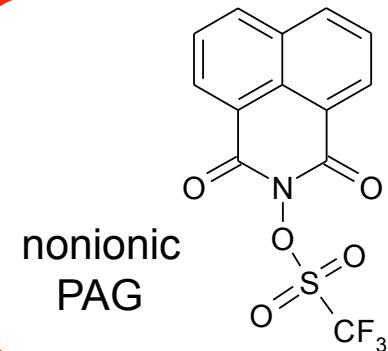
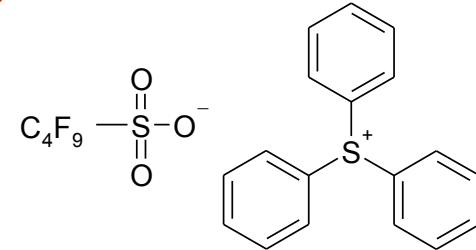
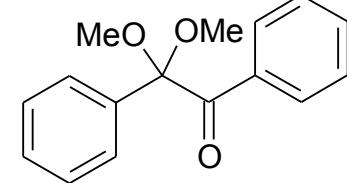
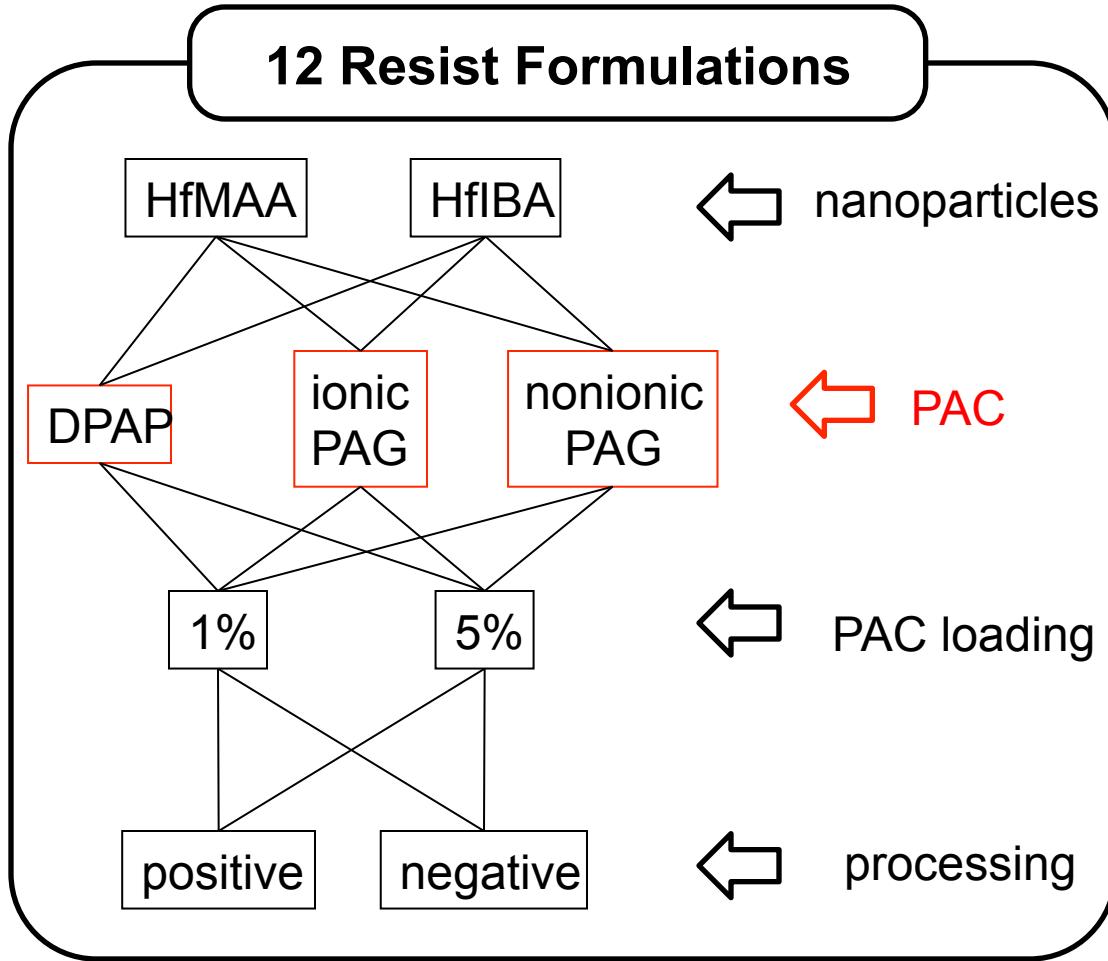


- ✓ Dual-tone
- ✓ EUV
- ✓ E-beam, DUV

Alternative  
ligands:



# Understanding Patterning



# To Explain in Proposed Mechanism

- Negative tone
  - Organic alcohol developer, no PEB
  - Photoinitiator or photoacid generator aids ligand crosslinking
  - IR also suggests a change in the bonds corresponding to the binding ligands
- Positive tone
  - Aq. base developer, PEB needed
  - Solubility of unexposed regions is changed with PEB
- Patterning with no double bonds

# Negative Tone Process

## Spin coating

On bare Si, unprimed, no underlayer. Film thickness 30-120 nm



## PAB

110°C / 60s



## Exposure

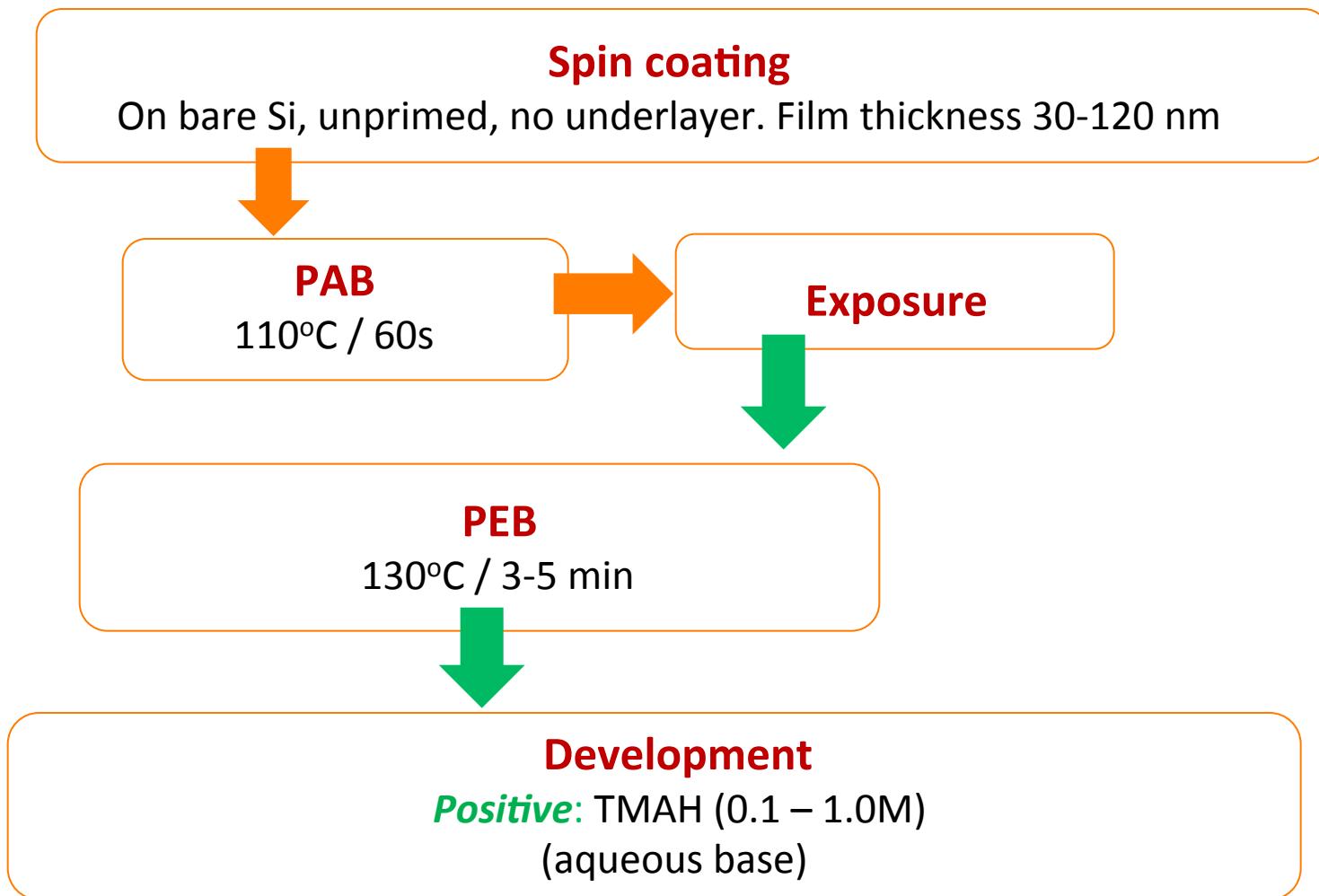


## Development

**Negative:** 4-methyl-2-pentanol (or mixture with PGMEA)  
(organic alcohol)

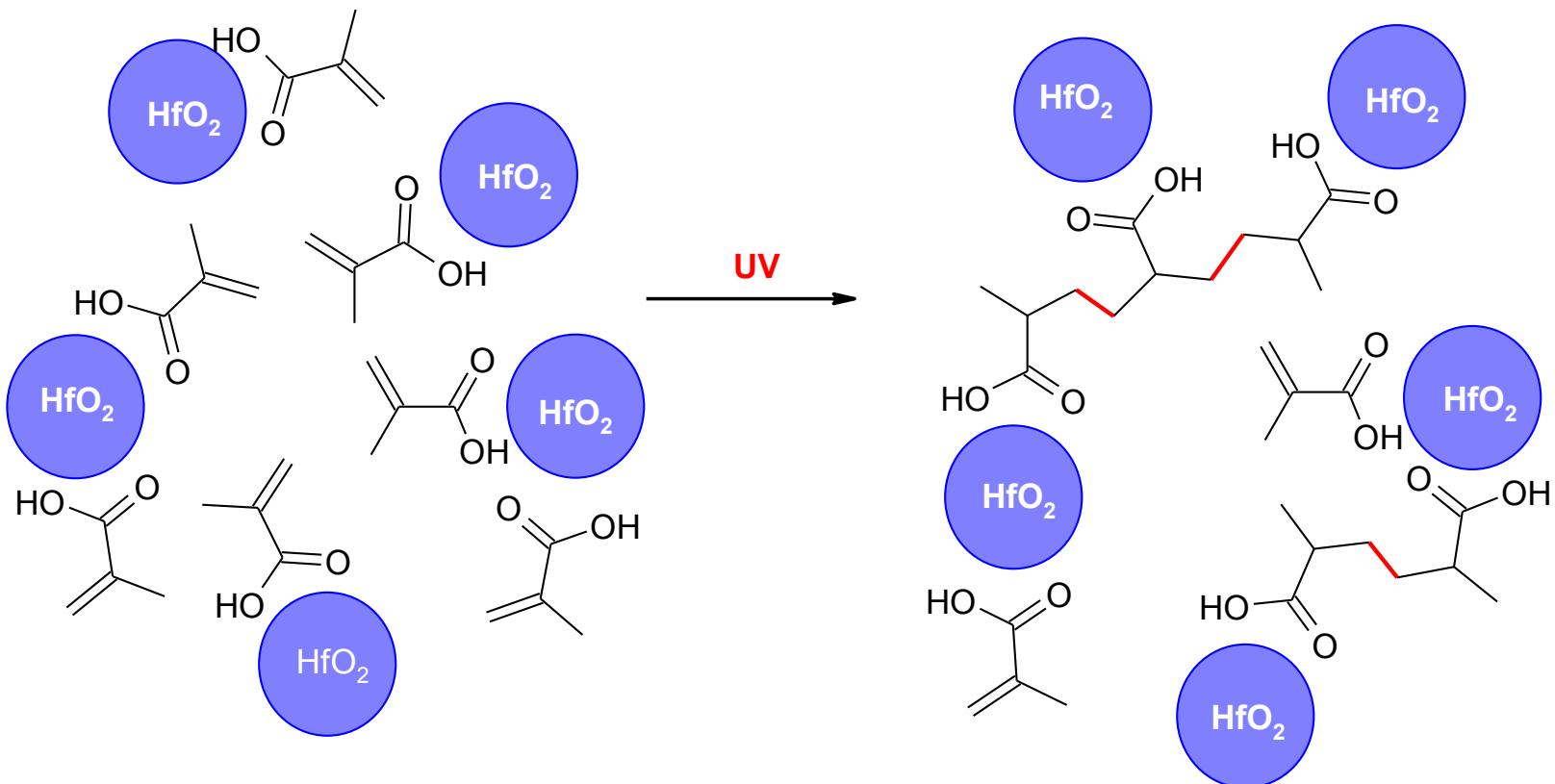
**NO post exposure bake, organic alcohol developer**

# Positive Tone Process

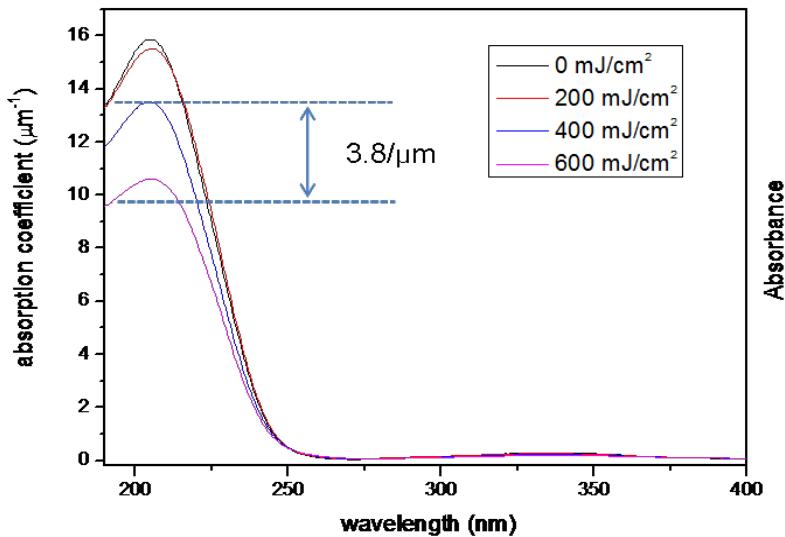


**post exposure bake, aqueous base developer**

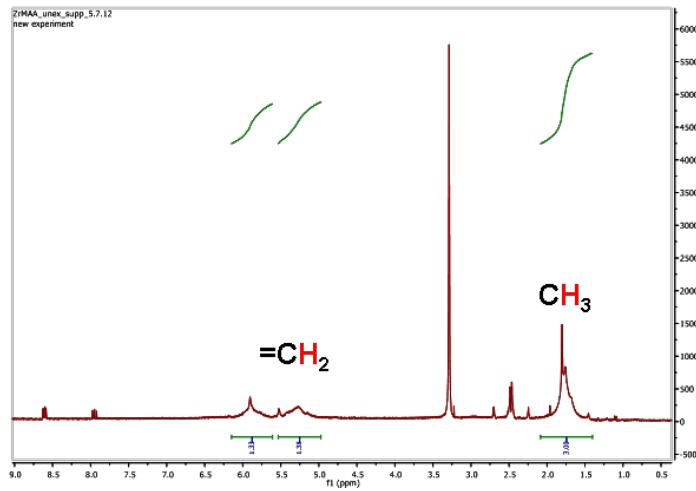
# Original Hypothesis – Negative tone cross-linking



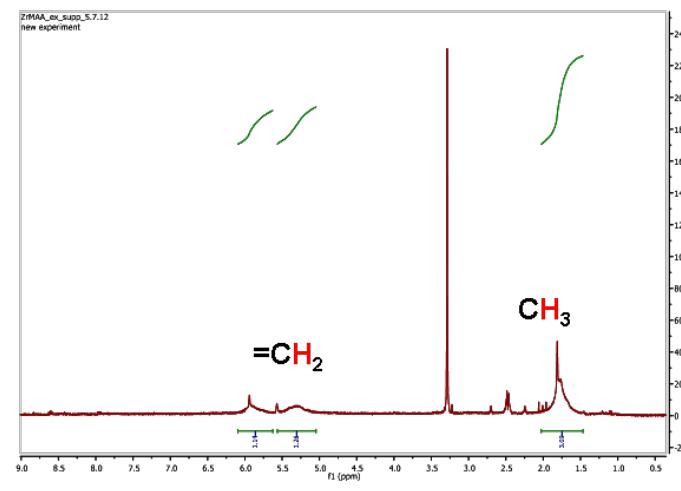
# Investigation of cross-linking hypothesis



No change in absorbance with exposure up to 200  $\text{mJ/cm}^2$  - typical exposure doses for patterning



Peak Integrations: 1.29 1.36 3.00

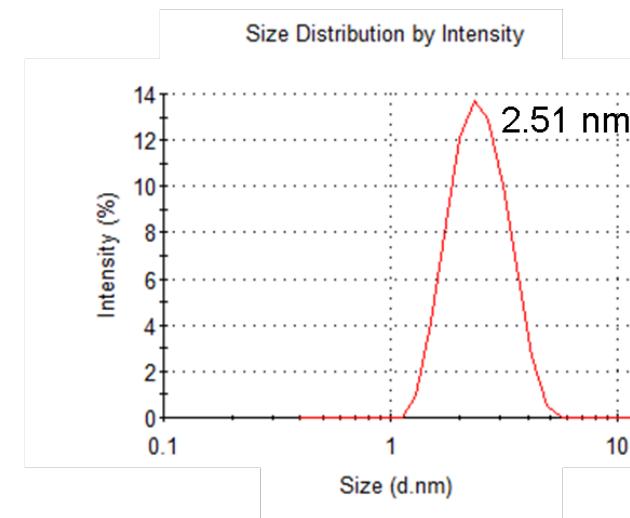
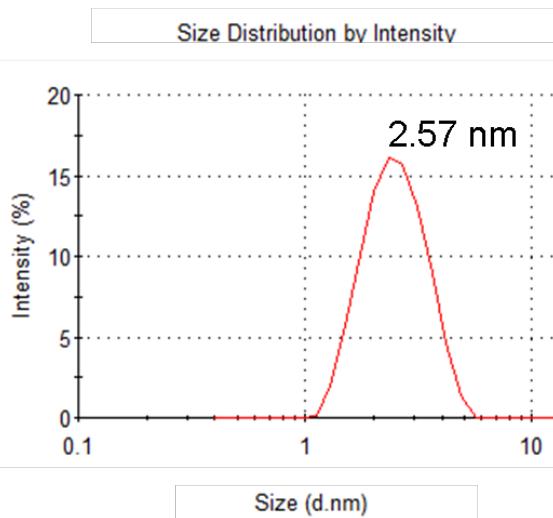
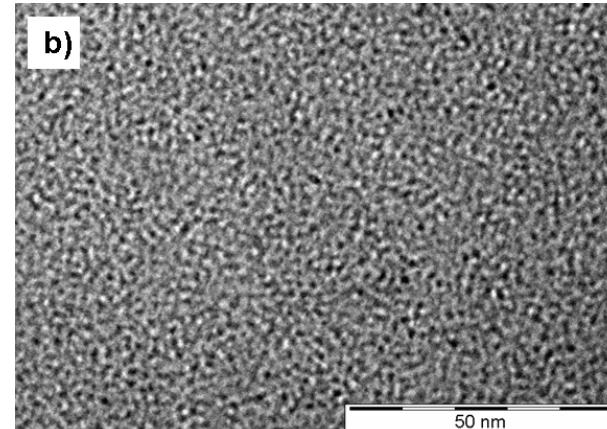
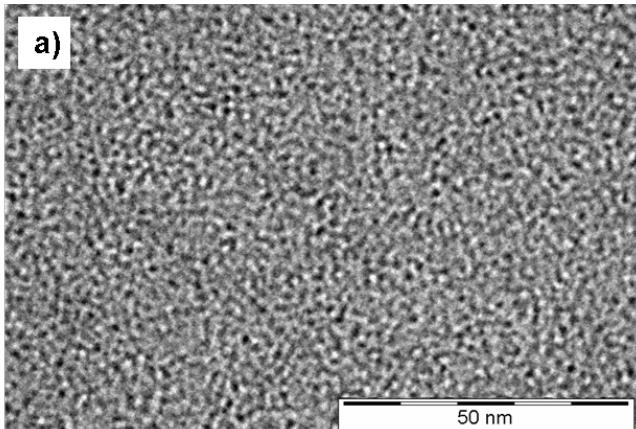


1.17 1.11 3.00

No significant change in the number of double bonds upon exposure

# Investigation of Metal Oxide Formation

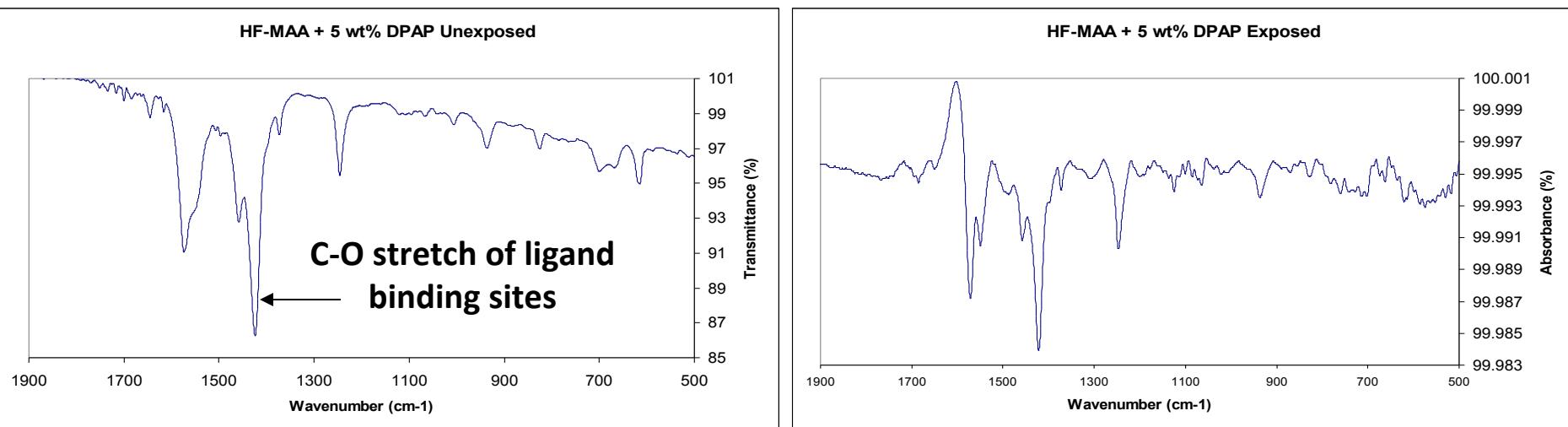
TEM Analysis – No size change after exposure



DLS – No size change after exposure

# Evidence of Ligand Displacement After Exposure

## In-film IR analysis



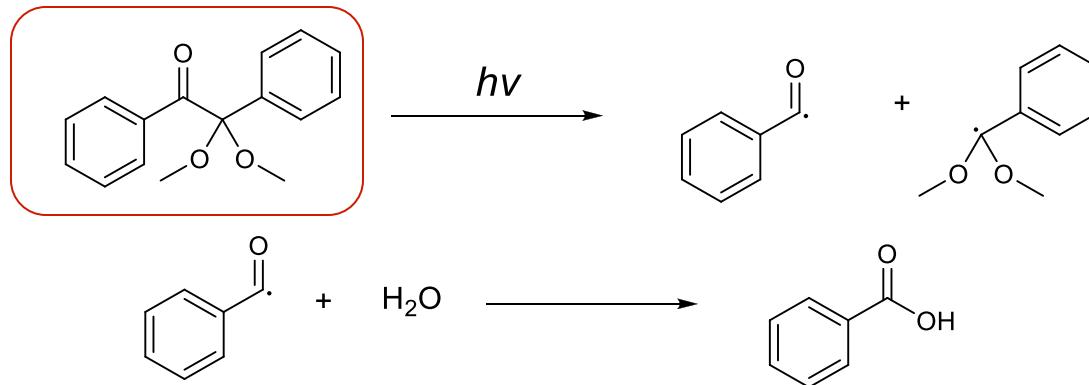
$\text{HfO}_2$ -MAA Unexposed  
Reference Spectrum

$\text{HfO}_2$ -MAA Exposed

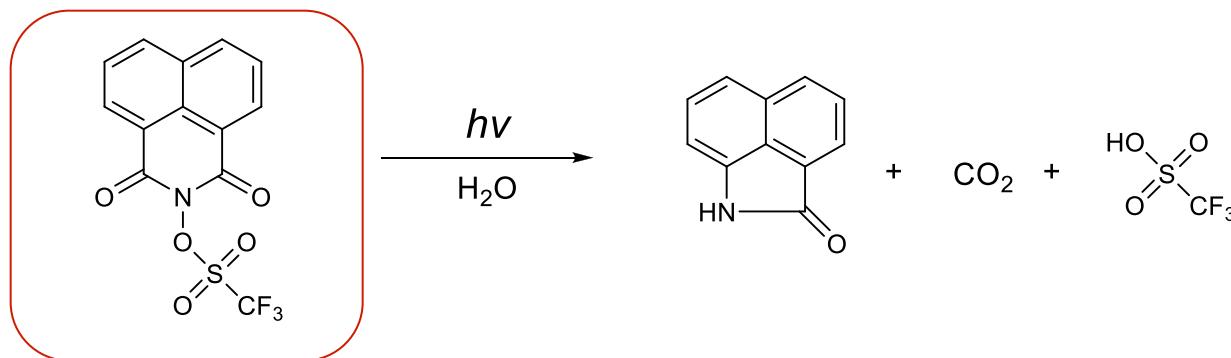
Loss of ligand binding site peaks indicate a change at the ligand/nanoparticle interface

# Photoactive Compound Mechanism

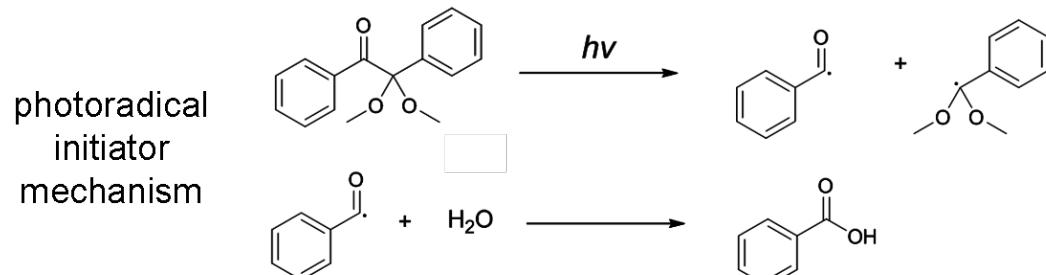
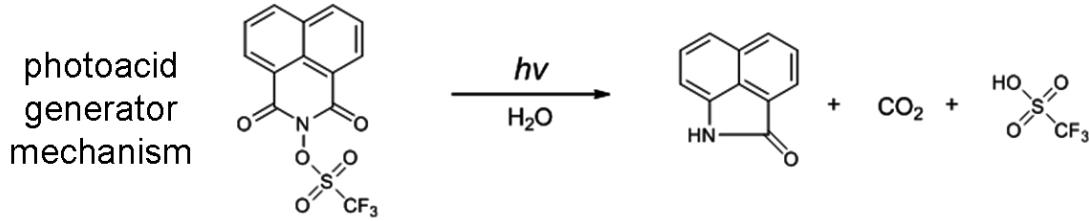
**Radical Initiator : DPAP (2,2-Dimethoxy-2-phenyl acetophenone)**



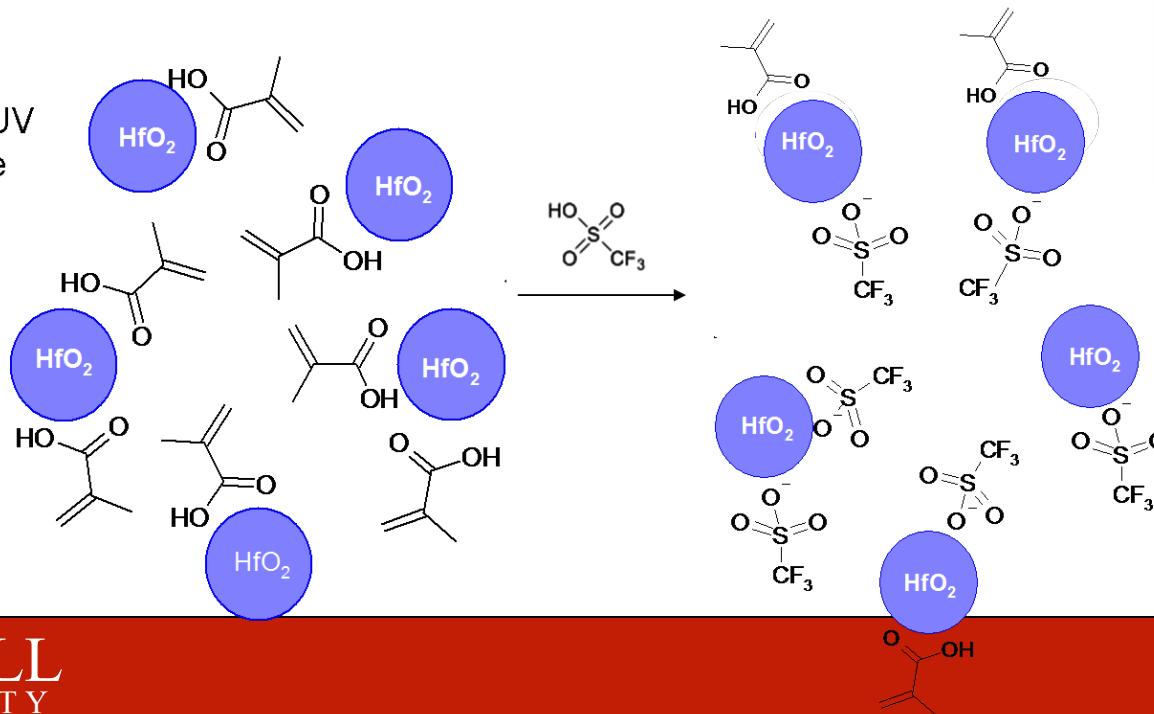
**Photoacid Generator (PAG): (N-Hydroxy-naphthalimide triflate)**



# Negative Tone Mechanism Hypothesis



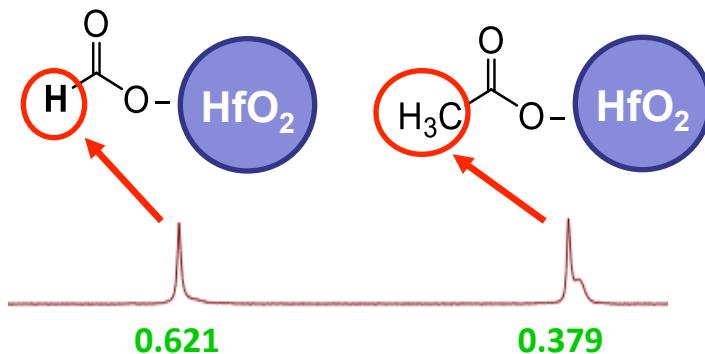
film upon UV exposure



Triflic and benzoic acid can exchange with carboxylic acid, changing the solubility of the nanoparticles

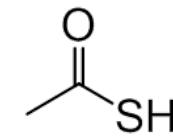
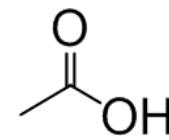
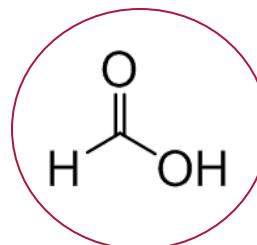
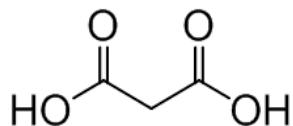
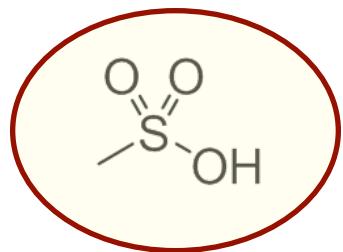
# Binding Energies of Various Ligands ---

Collaboration with Prof. R. Brainard's group at CNSE



Ligand binding studies using  $^1\text{H}$  NMR

**Relative Binding Energies (Kcal/mol) (Relative to Acetic Acid):**

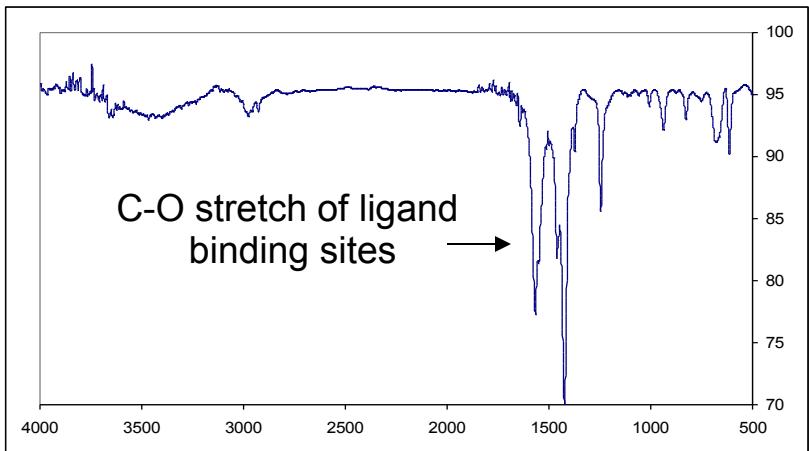


Stronger Binding Ligands

Sulfonic acid binds more strongly than carboxylic acid

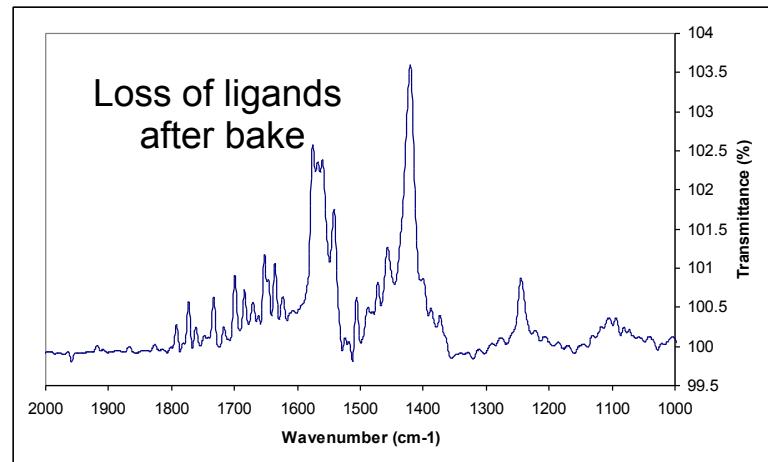
# FT-IR Studies Before and After PEB

## In-film IR analysis

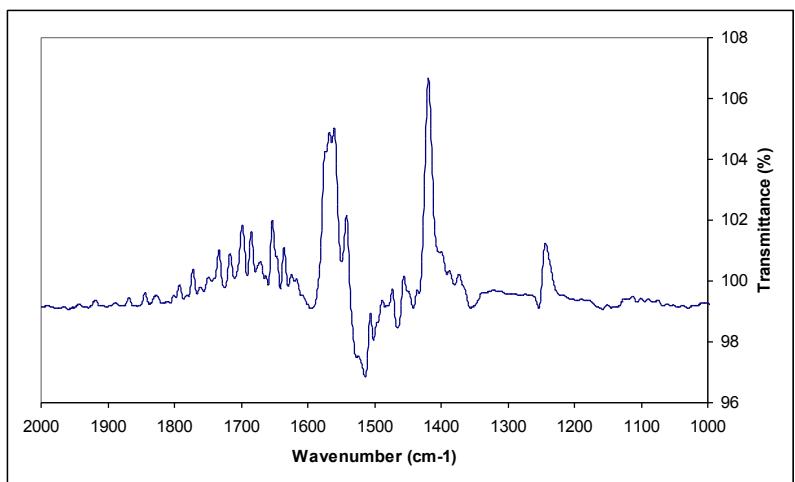


$\text{ZrO}_2\text{-MAA Unexposed}$

Higher intensity of ligand loss in unexposed vs. exposed film after PEB

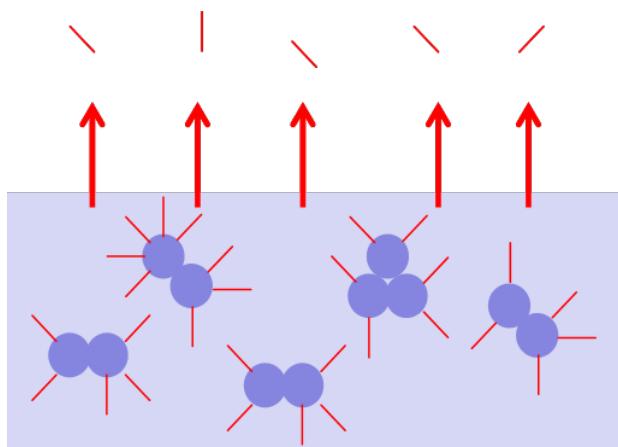


$\text{ZrO}_2\text{-MAA Exposed + PEB}$

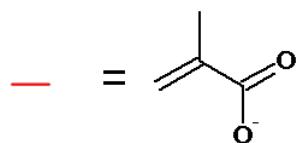


$\text{ZrO}_2\text{-MAA Unexposed + PEB}$

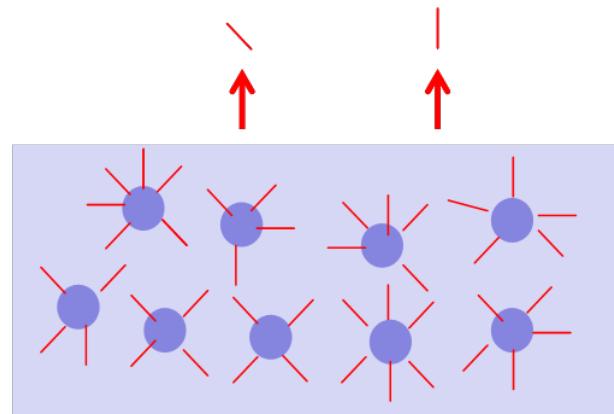
# Positive Tone Mechanism Hypothesis



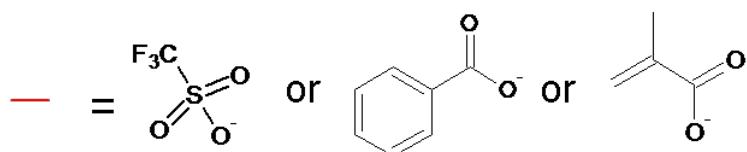
Unexposed



Carboxylic acid ligands leave film during PEB



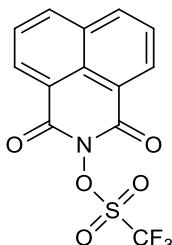
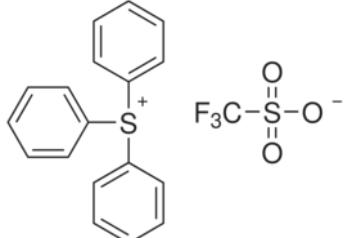
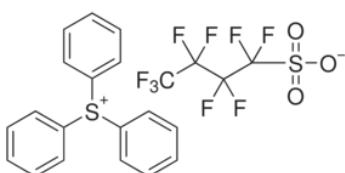
Exposed



Sulfonic acid ligands, which bind more strongly to the metal oxide, do not leave during PEB

Triflic acid and benzoic acid-substituted particles are more soluble in aqueous base than particles in unexposed areas

# Effect of PAG on Patterning Ability

PAG	Negative Tone Process	Positive Tone Process
	Negative Tone Patterns	Positive Tone Patterns
	Negative Tone Patterns	Negative Tone Patterns
	Negative Tone Patterns	Negative Tone Patterns

Ionic PAGs do not produce positive tone patterns

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